



## EAST CAROLINA ANGELS MEMBERSHIP APPLICATION & SURVEY

The East Carolina Angels (ECA) seeks ROI for its Members through screening and presentation of curated investment opportunities to Members for potential investment(s). ECA seeks a diversified pool of investors with wide-ranging expertise to help drive success for the membership as a whole. To help assess and catalog Member skillsets and expertise, we conduct membership surveys and review the results for trends, such as: (1) investment interests, (2) technical, business and financing expertise among membership, and (3) skills which may be valuable to the group as well as to portfolio companies. Individual data will be treated *confidentially* and will not be shared with other Members or with any external entities. Only ECA management will have access to individual responses. The application and survey results may be used *in aggregate* to present ECA as a syndicate entity to venture capital firms, corporate venture groups, angel funds and the media for the purpose of securing high-quality deal flow, syndication, and national awareness.

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COMPLETION OF THIS APPLICATION & SURVEY IS A CONDITION OF MEMBERSHIP (NEW AND RENEWING)

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### Investor Personal Information:

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Email Address: \_\_\_\_\_

Cell Phone: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Country: ☐ USA ☐ Other (Describe \_\_\_\_\_)

### Current Work Information:

Company: \_\_\_\_\_ Title: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip/Postal Code: \_\_\_\_\_

Phone: \_\_\_\_\_

Referred By: \_\_\_\_\_

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### **Accredited Investor Affirmation:**

**I am Applying to ECA to invest as:**

- ☐ **An individual with a net worth (either individually or with my spouse, if any), and all property and other assets that exceed \$1,000,000 including investments.**
- ☐ **An individual with an annual income that was at least \$200,000 in each of the two most recent years and expected comparable income in the current year.**
- ☐ **An individual with an annual income, jointly with my spouse, of at least \$300,000 in each of the two most recent years and expected comparable income in the current year.**
- ☐ **An entity (e.g., corporation, partnership, limited liability company, trust, etc.) in which all of the equity owners are accredited investors.**
- ☐ **None of the above: explain why you have checked this box and still believe you meet the requirements of an Accredited Investor as defined under Rule 501 of the SEC regulations promulgated under the Securities Exchange Act of 1934:** \_\_\_\_\_

### **Membership Survey:**

1. What is your membership status?

☐ New ☐ Renewing

2. Have you ever been an angel investor?

☐ No ☐ Yes

If yes, how long?: \_\_\_\_\_ year(s)

If yes, how many companies have you invested in as an angel investor? \_\_\_\_\_ (number)

3. In what industry(s) do you have professional experience? *(check all that apply)*

☐ Healthcare ☐ Telecommunications ☐ Media ☐ Manufacturing ☐ Environment ☐ Biotech/Pharma  
☐ Business/Financial ☐ Energy ☐ E-Commerce ☐ Industrial ☐ Software ☐ Consumer Products ☐ Agriculture  
☐ Hardware ☐ Semiconductor

Other: \_\_\_\_\_

4. What business skills/expertise do you have? *(check all that apply)*

☐ Senior Mgmt. ☐ Board Member ☐ Operations ☐ Marketing ☐ Sales ☐ R&D ☐ Financial Services  
☐ Strategic planning ☐ Business plan development ☐ Employee benefits ☐ Insurance ☐ Legal ☐ Public Relations  
☐ Infrastructure ☐ Accounting

5. Have you ever been a company founder or officer? *(check all that apply)*

☐ Founder ☐ CEO ☐ President ☐ VP, R&D ☐ CFO ☐ CIO ☐ COO ☐ CTO ☐ VP, Sales & Marketing

6. What is the typical company stage for your previous angel investment(s)? *(give percent for each below, totaling 100%)*

Start-up/Seed \_\_\_\_\_% Early stage \_\_\_\_\_% Expansion \_\_\_\_\_% Later stage \_\_\_\_\_% Mezzanine to IPO \_\_\_\_\_%  
Public \_\_\_\_\_%

7. As an angel investor, what is the typical relationship you have with portfolio companies? *(check all that apply)*

☐ Board Member ☐ Advisor/Mentor ☐ Officer ☐ Employee ☐ Consultant ☐ Passive  
☐ Other: \_\_\_\_\_

Less than \$5,000: \_\_\_\_%; \$5,000 to \$10,000: \_\_\_\_%; \$10,000 to \$25,000 \_\_\_\_%; \$25,000 to \$50,000: \_\_\_\_%;  
\$50,000 to \$100,000: \_\_\_\_%; \$100,000 to \$500,000: \_\_\_\_%; \$500,000 to \$1 Million: \_\_\_\_%;  
More than \$1 Million: \_\_\_\_%

☐ No ☐ Yes

If no, why not?

Equity \_\_\_\_\_ Debt \_\_\_\_\_ Convertible Debt \_\_\_\_\_ Combination \_\_\_\_\_ Case-by-Case \_\_\_\_\_

☐ 1 to 3 Years; ☐ 3 to 5 Years; ☐ More than 5 Years

☐ Healthcare ☐ Telecommunications ☐ Media ☐ Manufacturing ☐ Environment ☐ Biotech/Pharma  
☐ Business/Financial ☐ Energy ☐ E-Commerce ☐ Industrial ☐ Software ☐ Consumer Products ☐ Agriculture  
☐ Hardware ☐ Semiconductor

Other: \_\_\_\_\_

☐ Negative   ☐ 1x   ☐ 1-3x   ☐ 3-5x   ☐ 5-10x   ☐ Greater than 10x

Deal flow \_\_\_\_\_ Social/Networking \_\_\_\_\_ Joint Due Diligence \_\_\_\_\_ Meeting Companies \_\_\_\_\_

Shared Expertise \_\_\_\_\_ Management Involvement \_\_\_\_\_ Professional Support \_\_\_\_\_

Alternative Asset Class/Diversified Investments

Other \_\_\_\_\_

[illegible]

## EAST CAROLINA ANGELS

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